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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|--|------------------------------------|----------------------|---------------------|------------------|--|
| 10/079,102 | 02/19/2002 | Kenneth J. Wayne | 10011474-1 | 6062 | |
| AGII ENT TE | 7590 03/09/200 CHNOLOGIES, INC. | 7 | EXAM | IINER | |
| Legal Department, DL429 | | | WUJCIAK, ALFRED J | | |
| Intellectual Property Administration P.O. Box 7599 | | | ART UNIT | PAPER NUMBER | |
| Loveland, CO 80537-0599 | | | 3632 | | |
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| SHORTENED STATUTO | RY PERIOD OF RESPONSE | MAIL DATE | DELIVERY MODE | | |
| 3 MONTHS | | 03/09/2007 | PAPER | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

| | Applicatio | n No. | Applicant(s) | | | | |
|---|--|---------------------------------------|--|--|--|--|--|
| | | | WAYNE, KENNETH J. | | | | |
| Office Action Summary | 10/079,10 | 2 | | | | | |
| omeen cannaly | Examiner | | Art Unit | | | | |
| The MAIL ING DATE of this communication and | Alfred J W | <u> </u> | 3632 | | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply | | | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status | | | | | | | |
| 1) Responsive to communication(s) filed on 26. | January 200 | <u>7</u> . | | | | | |
| 2a) ☐ This action is FINAL . 2b) ☑ Th | is action is | non-final. | | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | | | | |
| closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. Disposition of Claims | | | | | | | |
| 4) Claim(s) 1-5,7-15 and 17-20 is/are pending in the application. | | | | | | | |
| 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | | | |
| 5)⊠ Claim(s) <u>1-5,7-14,19 and 20</u> is/are allowed. | | | | | | | |
| 6)⊠ Claim(s) <u>15 and 17</u> is/are rejected. | | | | | | | |
| 7)⊠ Claim(s) <u>18</u> is/are objected to. | 7)⊠ Claim(s) <u>18</u> is/are objected to. | | | | | | |
| 8) Claim(s) are subject to restriction and/or election requirement. | | | | | | | |
| Application Papers | | | | | | | |
| 9) The specification is objected to by the Examiner. | | | | | | | |
| 10)⊠ The drawing(s) filed on <u>19 February 2002</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner. | | | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | | | |
| 11)⊠ The proposed drawing correction filed on <u>08 March 2004</u> is: a)⊠ approved b)☐ disapproved by the Examiner. If approved, corrected drawings are required in reply to this Office action. | | | | | | | |
| 12) The oath or declaration is objected to by the Examiner. | | | | | | | |
| Priority under 35 U.S.C. §§ 119 and 120 | | | | | | | |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). | | | | | | | |
| a) All b) Some * c) None of: | | | | | | | |
| 1. Certified copies of the priority documents have been received. | | | | | | | |
| 2. Certified copies of the priority documents have been received in Application No | | | | | | | |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage | | | | | | | |
| application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. | | | | | | | |
| 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application). | | | | | | | |
| a) ☐ The translation of the foreign language provisional application has been received. 15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121. | | | | | | | |
| Attachment(s) | | | | | | | |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s) | 126/07 | · · · · · · · · · · · · · · · · · · · | r (PTO-413) Paper No(s) Patent Application (PTO-152) | | | | |

Application/Control Number: 10/079,102

Art Unit: 3632

DETAILED ACTION

This is the first Office Action for the serial number 10/079,102, LOW COST OPTOMECHANICAL MOUNT FOR PRECISELY STEERING/POSITIONING A LIGHT BEAM, filed on 11/8/02.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 15 and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent # 5,800,311 to Chuang in view of US Patent # 5,353,655 to Mishler.

Chuang teaches the system (figure 2) comprising a sphere (40) adapted for mounting an optical element (LED) in the sphere, having an opening shaped, a housing (11) adapted to receive the sphere, a magnet (34) attached to the housing and magnetically attracted to the sphere. The system further includes a cover (60) attached to the housing.

Chuang teaches the magnet but fails to teach plurality of magnets. Mishler teaches plurality of magnets (41'). It would have been obvious for one of ordinary skill in the art at the time the invention was made to have added additional magnets to Chuang's system as taught by Mishler to provide additional control of sphere using additional magnetic force thereon.

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Allowable Subject Matter

Claim 18 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Claims 1-5, 7-14 and 19-20 are allowed.

In regard to claims 1-5, 7-14 and 19-20, the prior art fails to teach the system further comprising a lid attached to the housing to apply a downward force upon the first set of balls, sphere, and second set balls. In regards to claim 18, the prior art fails to teach the system comprises a spring attached to the cover for applying a downward force upon the sphere.

Response to Arguments

Applicant's arguments with respect to claims 15 and 17 have been considered but are moot in view of the new ground(s) of rejection.

In response to applicant's argument (Appeal filed on 7/22/06) that Chuang is nonanalogous art, it has been held that a prior art reference must either be in the field of applicant's endeavor or, if not, then be reasonably pertinent to the particular problem with which the applicant was concerned, in order to be relied upon as a basis for rejection of the claimed invention. See *In re Oetiker*, 977 F.2d 1443, 24 USPQ2d 1443 (Fed. Cir. 1992). In this case, Chuang teaches the optomechanical system for supporting the LED (43) as explained in specification (col. 1, lines 66-67 and col. 2, lines 1-5). The LED is secured in the sphere/rotor (40, as shown in figure 2) and that when the rotor rotates, the LED emits light to indicate the

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speed of rotation for rotor. LED is an optical element being secured in optomechanical system (sphere/rotor). Therefore, Chuang invention is analoguos to applicant's invention.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alfred Joseph Wujciak III whose telephone number is (571) 272-6827. The examiner can normally be reached on 8am-4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Carl Friedman can be reached on (571) 272-6842. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Alfred Joseph Wujciak III Primary Examiner Art Unit 3632

PRIMARY EXAMINER
TECHNOLOGY CENTER

2/27/07